

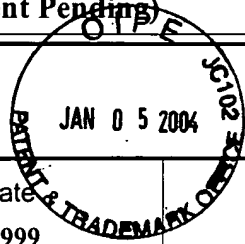
01-06-04

AF 3627
COPY

TRANSMITTAL LETTER
(General - Patent Pending)

Docket No.
98-113

In Re Application Of: WALKER et al.



Serial No.
09/350,875

Filing Date
July 9, 1999

Examiner
Gerald J. O'Connor

Group Art Unit
3627

Title: MULTI-TIER PRICING OF INDIVIDUAL PRODUCTS BASED ON VOLUME DISCOUNTS

TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Certificate of Mailing by Express Mail (Label No. EL985254665US) (1 pg.);
Transmittal of Appeal Brief (Small Entity) (1 pg.) (x2); Appeal Brief (65 pp.) (in triplicate);
Copy of Return Receipt Post Card received by the PTO January 2, 2004;
Copy of Petition for Extension of Time under 37 CFR 1.136 (a) as filed December 19, 2003 (2 pp.); and
Return Receipt Postcard.

in the above identified application.

- ☐ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. _____ as described below.
- ☒ Charge the amount of \$165.00
 - ☒ Credit any overpayment.
 - ☒ Charge any additional fee required.

50-0271

RECEIVED
JAN 12 2004
GROUP 3600

Signature

Dated: January 5, 2004

Magdalena M. Fincham
Attorney for Applicants
PTO Registration No. 46,085
(203) 461-7041/phone
(203) 461-7300/fax

CUSTOMER NO. 22927
Mfincham@walkerdigital.com

I certify that this document and fee is being deposited on January 5, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Michael D. Brinton

Typed or Printed Name of Person Mailing Correspondence

CC:

TRANSMITTAL OF APPEAL BRIEF (Small Entity)Docket No.
98-113In Re Application Of: **WALKER et al.**Serial No.
09/350,875Filing Date
July 9, 1999Examiner
Gerald J. O'ConnorGroup Art Unit
3627Invention: **MULTI-TIER PRICING OF INDIVIDUAL PRODUCTS BASED ON VOLUME DISCOUNTS**TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith in triplicate is the Appeal Brief in this application, with respect to the Notice of Appeal filed on:

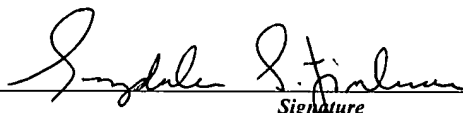
Applicant is a small entity under 37 CFR 1.9 and 1.27.

A verified statement of small entity status under 37 CFR 1.27:

- ☐ is enclosed.
- ☒ has already been filed in this application.

The fee for filing this Appeal Brief is: **\$165.00**

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Director has already been authorized to charge fees in this application to a Deposit Account.
- ☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. **50-0271**

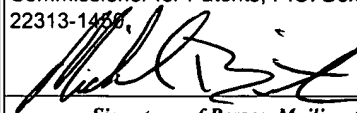
RECEIVED
JAN 12 2004
GROUP 3600
SignatureDated: **January 5, 2004**

Magdalena M. Fincham
Attorney for Applicants
PTO Registration No. 46,085
(203) 461-7041/phone
(203) 461-7300/fax

CUSTOMER NO. 22927**Mfincham@walkerdigital.com**

cc:

I certify that this document and fee is being deposited on **January 5, 2004** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Signature of Person Mailing Correspondence

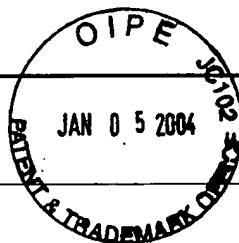
Michael D. Brinton

Typed or Printed Name of Person Mailing Correspondence

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)			Docket No.
Applicant(s): WALKER et al.			98-113

Serial No. 09/350,875	Filing Date July 9, 1999	Examiner Gerald J. O'Connor	Group Art Unit 3627
--------------------------	-----------------------------	--------------------------------	------------------------

Invention: **MULTI-TIER PRICING OF INDIVIDUAL PRODUCTS BASED ON VOLUME DISCOUNTS**



I hereby certify that the following correspondence:

APPEAL BRIEF (IN TRIPLICATE)

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

January 5, 2004

(Date)

Michael D. Brinton

(Typed or Printed Name of Person Mailing Correspondence)

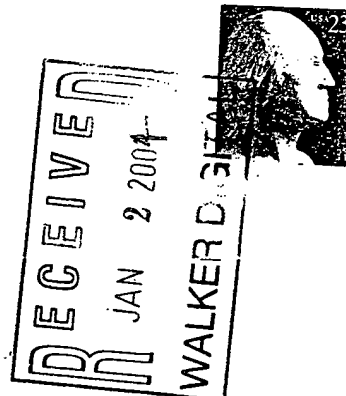
(Signature of Person Mailing Correspondence)

EL985254665US

("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.

RECEIVED
JAN 12 2004
GROUP 3627



Aimée J. Knoller
Records Manager
Walker Digital Management, LLC
Five High Ridge Park
Stamford, CT 06905

COPY

RECEIVED
JAN 12 2004
GROUP 3600

Commissioner for Patents:

Date Received:

Applicant: WALKER et al.
Appl. No.: 09/350,875
Filing Date: July 9, 1999
Title: MULTI-TIER PRICING OF INDIVIDUAL PRODUCTS BASED
ON VOLUME DISCOUNTS
(98-113)

Sir:

Please acknowledge receipt of the following papers by stamping the date received on this card and returning the same to the addressee.

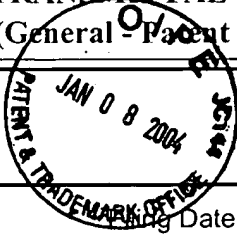
- Certificate of Mailing By First Class Mail (37 CFR 1.8), 1 pg.; and
- Petition for Extension of Time Under 37 CFR 1.136(a), 1 pg., (x2).

Date mailed: December 19, 2003.

TRANSMITTAL LETTER
(General - Patent Pending)

Docket No.
98-113

In Re Application Of:
JAY S. WALKER et al.



Serial No.
09/350,875

Filing Date
July 9, 1999

Examiner
O'Connor, Gerald J.

Group Art Unit
3627

Title:

MULTI-TIER PRICING OF INDIVIDUAL PRODUCTS BASED ON VOLUME DISCOUNTS

TO THE COMMISSIONER FOR PATENTS:

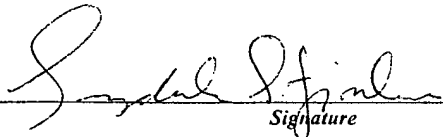
Transmitted herewith is:

Applicants' Interview Summary, 1 pg.; and
Return Receipt Postcard.

RECEIVED
JAN 12 2004
GROUP 3600
30-0271

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. _____ as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.


Signature

Dated: January 6, 2004

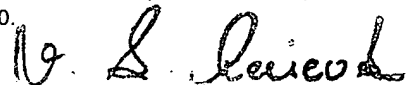
Magdalena M. Fincham
Attorney for Applicants
PTO Registration No. 46,085
Walker Digital, LLC
203.461.7337/phone
203.461.7300/fax

CUSTOMER NO. 22927

Mfincham@walkerdigital.com

cc:

I certify that this document and fee is being deposited
January 6, 2004 with the U.S. Postal Service as
first class mail under 37 C.F.R. 1.8 and is addressed to the
Commissioner for Patents, P.O. Box 1450, Alexandria, VA
22313-1450.



Signature of Person Mailing Correspondence

Veronika S. Leliever

Typed or Printed Name of Person Mailing Correspondence



COPY

Applicants' Interview Summary

To: Examiner Gerald O'Connor
Art Unit 3627
703-305-1525

From: Magdalena M. Fincham
Attorney for Applicants
Reg. No. 46,085
203-461-7041

RE: Application Serial No. 09/350,875

Date of Telephone Interview: January 05, 2004
Participants: Examiner O'Connor and Magdalena Fincham

Summary of Interview:

Examiner O'Connor informed Applicants' representative that the Examiner received the Request for Reconsideration, faxed to the Examiner on January 02, 2004, regarding the Notice of Abandonment of the present application. Examiner O'Connor further informed Applicants' representative that the Examiner could not change the status of the present application from its present state of Abandonment. Examiner O'Connor recommended that Applicants file a Petition to Revive the present application in a timely manner to change the status of the Application.

Applicants' representative requested an Advisory Action or an Examiner's Telephone Interview, documenting that the Examiner received the Request for Reconsideration and is maintaining the abandonment of the present application. The Examiner did not agree to provide either an Advisory Action or an Examiner's Telephone Interview. The reason the Examiner provided for this refusal to provide a written response to the Request for Reconsideration, as best as Applicants' representative could understand it, was that it would not affect the status of the Application.

Applicants' representative informed the Examiner that the Applicants would be submitting an Applicants' Interview Summary, documenting the conversation.

Submitted by:

Date: January 05, 2004

Magdalena M. Fincham
Attorney for Applicants
Reg. No. 46,085

RECEIVED

JAN 13 2004

OFFICE OF PETITIONS